

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/669,051         | 09/24/2000          | Nicolas F. Franano    | 55225                  |

FORMALITIES LETTER



\*OC000000005557590\*

Peter Corless  
Edwards & Angell LLP  
130 Water Street  
Boston, MA 02109

Date Mailed: 11/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$642.
  - \$486 for 27 total claims over 20.
  - \$156 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1462.

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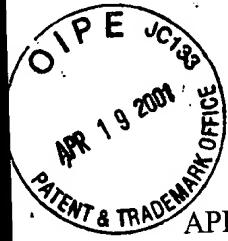
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01 FC 201  
02 FC 202  
03 FC 203  
04 FC 205

11/15/00

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



Attorney Docket No. 55,225 [71699]

✓ Sector  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Nicholas F. Franano EXAMINER: Unassigned

SERIAL NO.: 09/669,051 GROUP: 1614

FILED: September 24, 2000

FOR: SYSTEMS AND METHODS FOR OPENING OBSTRUCTED  
BIOLOGICAL CONDUITS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 16, 2001.

By: William J. Daley  
William J. Daley, Jr.

RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED  
AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

The following is in response to the Notice to File Missing Parts- Filing Date Granted (PTO-1533) mailed November 15, 2000, in the above referenced application.

A petition has also been filed herewith requesting a three (3) month extension of time to April 16, 2001, to respond to the above referenced Office Action. Applicant also conditionally petitions for a further extension time to provide for the possibility that such a petition is required.

As indicated below, please charge Deposit Account No. 04-1105 for the required fee.

Ray F. Lee  
U.S.S.N.: 09/728,948  
RESPONSE TO MISSING PARTS NOTICE  
Page 2

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts-Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Number and date.

Completion of application filing fees as calculated below:

|  |                  |                   |
|--|------------------|-------------------|
| 1. Statutory basic filing fee  | \$ 710.00        |                   |
| 2. Total additional claim fees   |                  |                   |
| Dependent claims in excess of 20 (27)  | 486.00           |                   |
| Independent claims in excess of 3 (2)  | 160.00           |                   |
| Total  | 646.00           |                   |
| 3. Surcharge for late payment of filing fee<br>and/or late filing of original declaration/oath | <u>\$ 130.00</u> |                   |
| TOTAL BEFORE ADJUSTMENT  |                  | <u>\$1,486.00</u> |
| 4. SMALL entity adjustment (50%)   | \$ 743.00        |                   |
| TOTAL FEE DUE  |                  | <u>\$ 743.00</u>  |

The undersigned respectfully submits that the assignee of the present invention, Johns Hopkins University of Baltimore, Maryland, is a small entity for purposes of paying fees in the United States Patent and Trademark Office for the subject application.

Enclosed herewith is a check for \$743.00 in payment of the total fee due.

Applicant also respectfully requests that the official filing receipt be corrected to include the address for the identified inventor.

Ray F. Lee  
U.S.S.N.: 09/728,948  
RESPONSE TO MISSING PARTS NOTICE  
Page 3

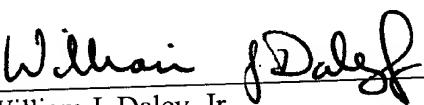
Applicant believes that additional fees are not required, however, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

In particular, the Commissioner is hereby authorized to charge any deficiencies in the following fees: filing fees (37 C.F.R. §1.16(a), (f) or (g)); fees associated with the presentation of extra claims (37 C.F.R. §1.16(b), (c) and (d)); surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application (37 C.F.R. §1.16(e)); extension fees pursuant to § 1.136(a) (37 C.F.R. §1.17(a)(1)-(5)); and application processing fees (37 C.F.R. §1.17).

Respectfully submitted,  
**EDWARDS & ANGELL LLP**  
Dike, Bronstein, Roberts & Cushman  
*Intellectual Property Practice Group*

Date: April 16, 2001

By:

  
William J. Daley, Jr.  
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Boston, MA 02109  
(617) 523-3400

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